

- Please send the completed form and documentation to OffshoreInvestments@coronation.co.za or 086 676 9780 (fax).
- Should you have any queries or if you would like an investment quote for comparison purposes, kindly email clientservice@coronation.co.za or call us on 0800 86 96 42.

A: IMPORTANT INFORMATION

- Every applicant applying for units on the applicant's own behalf is hereby obliged to notify Coronation Global Fund Managers (Ireland) Limited (the "Manager"), in writing, if the applicant is or becomes resident or ordinarily resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (currently calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.
- Every applicant applying for units on behalf of another person is hereby obliged to notify the Manager, in writing, if the applicant is or becomes aware that any person who is beneficially entitled to any of those units may be resident or ordinarily resident in Ireland or may have become resident in Ireland. An individual is ordinarily resident in Ireland if the individual has been resident in Ireland for each of the 3 preceding years of assessment (currently calendar years) and that individual continues to be ordinarily resident in Ireland until the individual has not been resident in Ireland in each of the 3 preceding years of assessment.

We require the following documentation (refer section K for more detail) before we can process your application:

- ☐ Copy of Certificate of Incorporation, Partnership Agreement, Registration Certificate, Founding Statement, Constitution or founding document etc.
- ☐ If you are transacting on behalf of the Investor, a copy of your ID (South African bar-coded ID, valid passport (if foreign national)) and proof of your authority to act for the Investor.

Not required if you have submitted these documents as part of a previous Coronation investment that is still active. If any of these particulars have changed, please send us the updated documentation. In certain circumstances we may contact you to request additional documentation.

Please also send us:

- ☐ Proof of deposit, if you are investing a single amount.

Important Notice

The Manager is authorised by the Central Bank of Ireland (the "Central Bank") under the European Communities (UCITS) Regulations 2011. The Coronation Global Opportunities Fund (the "Fund") is an open-ended umbrella unit trust established in Ireland and authorised by the Central Bank as a UCITS pursuant to the UCITS regulations. The Fund may be subject to management, administration and incentive or performance fees both directly and indirectly through fees charged to the funds in which it invests. Past performance is not necessarily a guide to future performance. The value of, and any income from, investments in the Fund may fall as well as rise, and may be affected by exchange rate fluctuations. Investors may not receive back the full amount invested. Investments in the Fund may not be readily realisable.



B: INVESTOR DETAILS

☐ I'm a new investor with Coronation. ☐ I'm an existing investor. My Coronation investment number: _____

Registered name: _____

Trading name: _____

Registration number: _____ Contact person: _____

Entity type:

<input type="checkbox"/> Listed company	<input type="checkbox"/> Unlisted company	<input type="checkbox"/> Partnership
<input type="checkbox"/> Government department/SOE	<input type="checkbox"/> Close corporation	<input type="checkbox"/> Retirement fund
<input type="checkbox"/> Medical scheme	<input type="checkbox"/> Collective investment scheme	<input type="checkbox"/> Trust
<input type="checkbox"/> Sole proprietor	<input type="checkbox"/> Charitable organisation	<input type="checkbox"/> Non-profit organisation
<input type="checkbox"/> Other (please specify): _____		

Country of incorporation: _____ Country of business/head office: _____

Registered address: _____

_____ Code: _____

Business address is same as registered address ☐

Business address: _____

_____ Code: _____

Postal address is same as registered address ☐

Postal address is same as business address ☐

Postal address: _____

_____ Code: _____

Telephone number: (____) _____ Mobile number of contact person: (____) _____

Email address: _____

Would you like to receive your welcome pack by email ☐ or by post? ☐

All other correspondence will be emailed where possible. Should you prefer to receive communication via post, please check this box: ☐

Industry of entity:

<input type="checkbox"/> Accommodation & food services	<input type="checkbox"/> Administrative & support services	<input type="checkbox"/> Agriculture
<input type="checkbox"/> Arts & entertainment	<input type="checkbox"/> Construction	<input type="checkbox"/> Education
<input type="checkbox"/> Engineering & scientific services	<input type="checkbox"/> Financial services ¹	<input type="checkbox"/> Gas & electricity
<input type="checkbox"/> Gems & jewellery	<input type="checkbox"/> Health services & social work	<input type="checkbox"/> Information & communication
<input type="checkbox"/> Manufacturing	<input type="checkbox"/> Mining	<input type="checkbox"/> Private household services
<input type="checkbox"/> Professional & technical services	<input type="checkbox"/> Public admin & defence services	<input type="checkbox"/> Real estate
<input type="checkbox"/> Transportation & storage	<input type="checkbox"/> Water supply & waste management	<input type="checkbox"/> Wholesale & retail trade
<input type="checkbox"/> Other (please specify): _____		

¹ Banks, insurers, collective investment schemes, retirement funds, pension funds



C: TAX INFORMATION

We are required by law to ask for information from all clients on their international citizenship and tax residency status.

In an effort to reduce global tax non-compliance, the South African government has indicated its willingness for global co-operation and information sharing. Accordingly, South Africa has signed an Intergovernmental Agreement (IGA) with the United States to comply with the Foreign Accounts Tax Compliance Act (FATCA), a law directed at US citizens and US tax residents. South Africa was also one of the early adopters of the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standard (CRS).

Please note that the information contained in this form may be shared with the South African Revenue Service (SARS).

Refer Annexure A - Glossary of Terms to assist in understanding the different terms used in this section.

Should you be in any doubt regarding your answers to this section, please contact your tax adviser or our client service representatives at clientservice@coronation.co.za or call 0800 22 11 77.

Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Are you a registered taxpayer? Yes ☐ No ☐ If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)	Nature of identification number (E.g. income tax number, social security number, VAT registration number etc.)

Should you be unable to provide your tax identification number (or any equivalent tax reference number) for any of the jurisdictions in which you are tax resident, please state the reasons:

US Person Status:

a) If you are incorporated or organised in the United States (and therefore a US Person), please confirm whether you are a Specified US Person (according to the glossary in Annexure A): Yes ☐ No ☐

(b) If you are incorporated or organised in the United States, and not a Specified US Person, please confirm which category of exemption (set out in detail in Annexure A) is applicable. Kindly also provide supporting documentation confirming this status where possible.

(c) If you are exempt in terms of point (b) above, but are unable to provide any documentary evidence to this effect, please state the reasons for this:



FATCA/CRS CLASSIFICATION:

If you have indicated above that you are a US Person, please do not complete this section. Otherwise, kindly provide the information requested below. In providing this information, please consult the definitions set out in Annexure A.

1. We are a Financial Institution as set out in Annexure A. Yes ☐ No ☐

If yes, please complete 1 (a) or (b) below (as appropriate). If not, please proceed to question 2 below.

- (a) (i) Please provide your Global Intermediary Identification Number (GIIN): _____
(ii) If you do not have a GIIN but you are sponsored by another entity which does, please provide your sponsor's GIIN and state your sponsor's name:

Sponsor name: _____ GIIN: _____

(b) If you are unable to provide a GIIN, please indicate your FATCA status by selecting one of the options below:

- ☐ We are an Exempt Beneficial Owner, as set out in Annexure A
☐ We are a Deemed-Compliant Foreign Financial Institution, as set out in Annexure A
☐ We are a Non-Participating Foreign Financial Institution, as set out in Annexure A

2. If you are NOT a Financial Institution as set out in 1 above, please confirm your Non-Financial Foreign Entity (NFFE) status below:



If you are not a Financial Institution, then you are required to select one of the following classifications. Failure to complete either (a) or (b) below will result in a Non-Participating Foreign Financial Institution classification.

- ☐ We are an Active NFFE, as set out in Annexure A
☐ We are a Passive NFFE, as set out in Annexure A

D: CHOOSE YOUR INVESTMENT



Before making a decision, please review the comprehensive fact sheets of the different funds on www.coronation.com. We also recommend that you speak to a financial adviser, who can assess your investment needs.

I/We wish to invest a total amount of _____ to be allocated as per the table below.

FUND NAME	Currency	Lumpsum (Minimum 500 per currency)
Coronation Global Equity Select Fund*	USD	
Coronation Global Opportunities Equity Fund*	USD	
Coronation Global Emerging Markets Fund*	USD	
Coronation Global Managed Fund*	USD	
Coronation Global Optimum Growth Fund*	USD	
Coronation Global Capital Plus House View Currency Class*	USD	
Coronation Global Capital Plus EUR Hedged Class	EUR	
Coronation Global Capital Plus USD Hedged Class	USD	
Coronation Global Capital Plus GBP Hedged Class	GBP	
Coronation Global Strategic USD Income Fund	USD	
Total amount		

* While this fund (or class) is denominated in USD, the underlying exposure is to an actively managed basket of currencies.

I/We hereby apply to invest in the sub-fund(s) in the Coronation Global Opportunities Fund, an open-ended umbrella unit trust scheme established by the Manager and authorised by the Central Bank as an undertaking for collective investment in transferable securities pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations, 2011 as amended in accordance with the provisions of the relevant supplemental of each sub-fund at the respective fund(s) ruling price(s) on the day prior to dealing date.



E: INVESTMENT DETAILS

- What is the source of funds for your investment? Please complete section **1** [compulsory]
- How much would you like to invest? Please complete section **2**

1 SOURCE OF FUNDS (COMPULSORY)

What is the source of funds you expect to use in concluding transactions in the course of this business relationship with Coronation?

Company Profit ☐ Capital Savings ☐ Contributions ☐ Donation ☐ Grants ☐

Other ☐ please specify: _____

2 SINGLE AMOUNT

☐ Telegraphic transfer/SWIFT

I have transferred _____ into the PPS Nominees account (listed below) on
_____ [d] / _____ [m] / _____ [y] and sent the proof of payment to OffshoreInvestments@coronation.co.za
or **086 676 9780** (fax).



Subject to a 7-day clearance period.

BANK ACCOUNT FOR CORONATION GLOBAL CAPITAL PLUS FUND G (GBP) HEDGED CURRENCY CLASS FUND:

Bank	Bank address	SWIFT	Sort code	Bank account number	IBAN	Beneficiary account name
JP Morgan Chase Bank N.A., London	Canary Wharf 25 Bank Str, E145JP, United Kingdom	CHASGB2L	609242	41032565	GB13 CHAS 6092 42410325 65	PPS Nominees (Pty) Ltd

BANK ACCOUNT FOR CORONATION GLOBAL CAPITAL PLUS FUND E (EUR) HEDGED CURRENCY CLASS FUND:

Bank	Bank address	SWIFT	Sort code	Bank account number	IBAN	Beneficiary account name
JP Morgan Chase Bank N.A., London	Canary Wharf 25 Bank Str, E145JP, United Kingdom	CHASGB2L	609242	41032564	GB40 CHAS 6092 42410325 64	PPS Nominees (Pty) Ltd

BANK ACCOUNT FOR CORONATION OFFSHORE FUNDS - ALL OTHER FUNDS:

Bank	Bank address	SWIFT	Sort code	Bank account number	IBAN	Beneficiary account name
JP Morgan Chase Bank N.A., London	Canary Wharf 25 Bank Str, E145JP, United Kingdom	CHASGB2L	609242	40967901	GB38 CHAS 6092 42409679 01	PPS Nominees (Pty) Ltd

Beneficiary address: PPS House, Boundary Terraces, 1 Mariendahl Lane, Newlands, 7700



All payments must be made by telegraphic transfer or SWIFT. Internet transfers may take up to two days to reflect in our bank account. Please use the Investor's registered name (as per section B) as reference.

Please confirm payment by providing the following with your application form:

- Confirmation of payment from the remitting bank including the telegraphic transfer or SWIFT.
- Confirmation that payment originated from the Investor's personal account.

The business cut-off time for receiving an instruction is 12h00 (noon) on the Dealing Date. The instruction will only be processed once supporting documents and proof of deposit or transfer have been received, or supporting documents have been received AND the funds reflect in the relevant bank account. You will receive a confirmation of the transaction the following day. If you transact after the cut-off time, the transaction price and confirmation will follow a day later.



IMPORTANT CONDITIONS

- Please ensure that the actual payment received at our correspondent bank is the same as that shown on the application form (i.e. after the deduction of any bank charges).
- No third-party payments will be accepted. Investors must ensure that the remitting bank has received the appropriate authorisation to release the necessary information to process this telegraphic transfer. If the verification is not forthcoming the monies will have to be returned to their source.
- Your Bank must show the Investor's registered name (as per section B) as a reference on the transfer in their SWIFT message. Your Bank must also fax confirmation of the transfer to Coronation. Please ensure these details are included in your instructions to your Bank.
- If Coronation does not receive satisfactory proof of payment by fax or any other means, together with a completed application form giving us full details of the investment, we will not be held liable for any delay that may occur in processing the application.
- If monies cannot be identified, Coronation reserves the right to return monies to their source.
- Kindly note Coronation has no control over this instruction to your banker.

F: YOUR BANK ACCOUNT

Source Bank Account Details

It is mandatory that you provide full bank account details from which the subscription proceeds are being paid. Subscription payments should only be made by telegraphic transfer from a bank account held in the same name(s) as the Investor(s).

Bank: _____

Bank address: _____

Branch name: _____ Branch/Sort code: _____

SWIFT code: _____ IBAN: _____

Account holder name*: _____

Account number: _____ Account currency: _____

* Subscriptions money must come from the account detailed above.

Correspondent Bank Account Details

(A correspondent bank acts as a point of contact for another bank in a country or state where the second bank does not have a branch or agency).

Bank: _____

Bank address: _____

Branch name: _____ Branch/Sort code: _____

SWIFT code: _____ IBAN: _____

Account holder name: _____

Account number: _____ Account currency: _____

Signature of bank account holder or authorised signatory:

SIGN WITHIN THE BOX



Payments cannot be made to third party accounts, credit cards or money market accounts. All payments from Coronation will be electronically transferred into your account.



G: IN SPECIE SUBSCRIPTIONS

The Manager may, at its discretion, issue units on terms that payment shall be made by the vesting in the Trustee of assets of the type in which the subscription monies for the relevant units may be invested in accordance with the investment objective, policy and restrictions of the relevant Fund and otherwise upon such terms as the Manager may think fit but subject to and in accordance with the following provisions:

- (a) In the case of a person who is not an existing unitholder, no units shall be issued until the person concerned shall have completed and delivered to the Manager this application form as required under the Prospectus and satisfied all the requirements of the Manager as to such application;
- (b) Units shall not be issued until the relevant assets have been vested or arrangements satisfactory to the Trustee are made to vest such assets in the Trustee or its nominee or sub-custodian to the Trustee's satisfaction;
- (c) Any such exchange shall be effected on terms that the number of units to be issued shall be the number (in the calculation of which, at the discretion of the Manager, fractions of a unit may be included or excluded) which would have been issued at the subscription price for a cash amount equal to the value of the Investments as calculated in accordance with the Prospectus including such sum as the Manager may consider represents an appropriate provision for duties and charges arising in connection with the vesting of the relevant assets;
- (d) The assets to be transferred to the Trustee for the account of the relevant Fund shall be valued by applying the method of calculating the value of Investments as set out in the Prospectus;
- (e) There may be paid to the incoming unitholder out of the property of the relevant Fund a sum in cash equal to the value at the current price of any fraction of a unit excluded from the calculation aforesaid; and
- (f) The Trustee shall be satisfied that the terms of such exchange shall not be such as are likely to result in any material prejudice to the existing unitholders.

H: FINANCIAL ADVICE

☐

I did not receive financial advice about this investment.

☐

I have received financial advice, but do not require Coronation to pay fees on my behalf.

☐

I have received financial advice from the financial adviser listed in this section. I instruct Coronation to deduct the following advice fees to pay the adviser on my behalf:

Initial advice fee: _____ % (Negotiable to a maximum 3%, exclusive of VAT. Applied to each deposit and deducted before the investment is made).

Annual advice fee: _____ % per annum of the market value of the investment portfolio, deducted and paid monthly in arrears. (Negotiable to a maximum 1%, exclusive of VAT. If an initial advice fee greater than 1.5% is selected, then the maximum annual advice fee is 0.5%).

This annual advice fee is not part of the normal annual management fee charged by the relevant fund(s). You may revise or terminate financial advice fees by written notice to us.

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX



FINANCIAL ADVISER DETAIL AND INFORMATION

To be completed by adviser.

Contact name: _____ Company: _____

Adviser account number: _____ Registration number: _____

FSP licence number: _____ Authorised adviser signature: _____

We declare that we are licensed as _____ regulated entity (Designated Body*) by the Regulatory Body
under the laws of _____

and are thereby subject to regulation and/or guidelines which to the best of our knowledge and understanding are in accordance with the Financial Action Task Force (FATF)** recommendations on the prevention of money laundering. This application is made either on our own behalf or in our name on behalf of our clients whose identity has been properly verified by us in accordance with the guidelines.

* A Designated Body is defined as an individual or other entity, which is regulated in respect of banking or investment services in a country, which is a member of the European Union or the FATF.

** FATF was established by the Organisation for Economic Cooperation and Development (OECD) to develop anti-money laundering policies. An updated list of FATF member jurisdictions is available on <http://www.OECD.org/fatf>.

We confirm that, as a regulated entity, we have:

1. Established and verified the identity of this investor and that the registered name(s) and addresses provided as part of this application form agree with our records. Yes ☐ No ☐
2. Verified that the client identification documents we have on file will be made available upon request.

I/We

- declare that I/we am/are a licensed Financial Service Provider(s) and have made the disclosures required in terms of the Collective Investment Schemes Control Act, 2002, and the Financial Advisory and Intermediary Services Act of 2002, and subordinate legislation thereto, to the Investor.
- warrant that I/we have explained all fees that relate to this investment to the Investor and I/we understand and accept that the Investor may withdraw his/her authority for payment to me/us in writing to Coronation.

Signed at: _____ on this _____ day of _____, year _____

Signature of Investor or Authorised Representative:

SIGN WITHIN THE BOX



I: RELATED PARTY DETAILS

The Financial Intelligence Centre Act No. 38 of 2001 (FICA) requires us to identify the Investor, their Beneficial Owners/Controlling Persons and other representatives and to verify their identities. We do this to prevent money laundering and terrorist financing.

We are further required by law to ask for information from all clients and their Controlling Persons on their international citizenship and tax residency status.

In an effort to reduce global tax non-compliance, the South African government has indicated its willingness for global co-operation and information sharing. Accordingly, South Africa has signed an Intergovernmental Agreement (IGA) with the United States to comply with the Foreign Accounts Tax Compliance Act (FATCA), a law directed at US citizens and US tax residents. South Africa was also one of the early adopters of the Organisation for Economic Co-operation and Development's (OECD) Common Reporting Standard.

The information contained in this form may be shared with the South African Revenue Service (SARS).

To meet these requirements please provide information and supporting documentation (refer section K) for the following persons:

- Any natural person(s) authorised to act on behalf of the Investor.
- Any natural person(s) who ultimately has a controlling ownership interest of 25% or more in the Investor.
- Any natural person(s) who exercises control over the Investor through other means, e.g. persons exercising control through voting rights.
- Any natural and/or legal person(s) who exercises control over the management of the Investor, e.g.
 - Company: Executive Director and/or any other company official(s) exercising control over such company
 - Close corporation: All members and/or any other person(s) exercising control over such close corporation
 - Partnership: All partners and/or any other person(s) exercising control over such partnership
 - Trust: All trustees, named beneficiaries, the founder/donor/protector/settlor and/or any other person(s) exercising control over such trust
 - Other entities: All natural and/or legal person(s) exercising control over such entity



1 RELATED PARTY - NATURAL PERSON:

Title: _____ Surname: _____ Gender: _____

First name: _____ Middle name(s): _____

ID number (passport number if foreign national): _____ Date of birth: ____ [d] / ____ [m] / ____ [y]

Country of issue: _____

Are you a politically exposed person or a prominent influential person¹? Yes ☐ No ☐

¹ An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of birth: _____ Are you a US citizen or resident in the US for tax purposes? Yes ☐ No ☐

Are you a South African resident? Yes ☐ No ☐ If no, please specify country of residence: _____

Residential address: _____

_____ Code: _____

Mobile number: (_____) _____ Email address: _____

Representative capacity:

☐ Authorised Representative ☐ Executive Director ☐ Manager
☐ Member ☐ Partner ☐ Exercise control
☐ Hold 25% or more of the voting shares ☐ Other (please specify): _____

If you selected the "Authorised Representative" option above you may ignore this section. Otherwise, please complete this section for all Controlling Persons, as identified. This section may be completed by the Controlling Person identified, or by an individual authorised to act on behalf of the Controlling Person.

Are you a registered taxpayer? Yes ☐ No ☐ If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)
_____	_____
_____	_____
_____	_____

A separate page must be completed for each beneficial owner/controlling person/authorised representative.
Click below to duplicate the page. The duplicate page will be added at the end of this form.



2 RELATED PARTY - LEGAL ENTITY:

Entity type:

- | | | |
|--|---|--|
| <input type="checkbox"/> Listed company | <input type="checkbox"/> Unlisted company | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Government department/SOE | <input type="checkbox"/> Close corporation | <input type="checkbox"/> Retirement fund |
| <input type="checkbox"/> Medical scheme | <input type="checkbox"/> Collective investment scheme | <input type="checkbox"/> Trust |
| <input type="checkbox"/> Sole proprietor | <input type="checkbox"/> Charitable organisation | <input type="checkbox"/> Non-profit organisation |
| <input type="checkbox"/> Other (please specify): _____ | | |

Registered name: _____

Trading name: _____

Registration number: _____ Contact person: _____

Telephone number: (____) _____ Email address: _____

Is any beneficial owner, controlling person or authorised representative of the legal entity for which this application is being made politically exposed or a prominent influential person¹? Yes ☐ No ☐

¹ An individual who has been entrusted with a prominent public function, for example Head of State, minister, senior judge, senior politician, military official or senior executive of a state-owned entity. You are also considered a politically exposed person if you are a family member or close associate of any of the above.

Country of incorporation: _____ Country of business/head office: _____

Registered address: _____

_____ Code: _____

Business address is same as registered address Yes ☐ No ☐

Business address: _____

_____ Code: _____

Representative capacity:

- | | |
|--|--|
| <input type="checkbox"/> Exercise control | <input type="checkbox"/> Hold 25% or more of the voting shares |
| <input type="checkbox"/> Other (please specify): _____ | |

Are you an entity incorporated or organised in the United States? Yes ☐ No ☐

Are you a registered taxpayer? Yes ☐ No ☐ If yes, please complete the tax information below:

Country(ies) of Tax Residence	Tax Reference or Identification Number(s)

A separate page must be completed for each beneficial owner/controlling person/authorised representative.
Click below to duplicate the page. The duplicate page will be added at the end of this form.



J: AUTHORISED SIGNATORIES

The following individuals are authorised to sign all instructions sent on behalf of the Investor:

Full Name	Contact Number	Email Address	Signature

Please indicate whether you require a joint signing authority by making an election below:

- ☐ We instruct Coronation to only action instructions signed by all authorised signatories named above.
- ☐ We instruct Coronation to action instructions signed by any of the authorised signatories named above and agree to terms (a) to (d) below.
- ☐ Refer to the attached Authorised Signatory list.

Coronation will action instructions signed by any of the authorised signatories named above if no election is made.

We jointly and severally authorise Coronation to act upon instructions placed by any one signatory on behalf of the entity subject to terms (a) to (d) below:

- (a) We authorise Coronation to act upon the instructions given in writing with regards to the units in the Fund(s) that we have selected, signed or purportedly signed by any one of the signatories.
- (b) We confirm that these authorisations shall apply to any further units purchased, transferred or otherwise held by the entity.
- (c) We agree that this authorisation shall remain in force until notice signed by all the authorised representatives (e.g. directors) in writing of its termination or replacement is received by Coronation and any such notice shall be without prejudice to the completion of transactions already initiated by Coronation pursuant to the above terms.
- (d) We agreed that this authorisation shall be construed in accordance with and governed by the laws of South Africa.

K: SUPPORTING DOCUMENTATION

(Copies of the supporting documentation are sufficient as long as all text and photographs are clear and legible).

In line with anti-money laundering requirements operating in various jurisdictions, all signatories are required to be identified.

- The client identification documents listed below must be returned to Coronation.
- If payment is not made from a Designated Body* in a country prescribed by the Irish Minister of Justice, or through a recognised intermediary that is itself a Designated Body in a Prescribed Country, the Investor is required to supply the information outlined below.
- Failure to satisfy these requirements can result in your application being delayed to a later dealing date and can even result in your application being rejected.
- The Fund, the Manager and the Administrator reserve the right to change the following requirements from time to time in order to keep up to date with changing regulations and industry best practice.

* A Designated Body is defined as an individual or other entity, which is regulated in respect of banking or investment services in a country, which is a member of the European Union or the FATF.

The following supporting documentation is required for the Investor and all related parties:

Natural persons:

ID document (SA residents) / passport (foreign nationals)

Proof of authority to act on behalf of the Investor (where applicable)



PLUS, whichever one of the below sections is applicable:

SA companies:

Certificate of Incorporation (CM1/COR14.3)

Most recent CM29/COR39

Certificate of Name Change (CM9) (where applicable)

Proof of listing (where applicable)

Foreign companies:

Official document from foreign regulator reflecting name, registration number and address, and any name changes since incorporation

Proof that certain persons are entitled to act as directors of the company

Proof of listing (where applicable)

Close corporations:

Founding Statement (CK1)

Amended Founding Statement (CK2) (where applicable) or Certificate of Incorporation

Partnerships:

Partnership Agreement

Trusts:

Trust Deed and Letter of Authority issued by the Master of the High Court

Retirement funds:

Registration Certificate issued by the Financial Sector Conduct Authority (FSCA) / relevant Regulator (foreign)

Collective investment schemes:

Registration Certificate issued by the FSCA / relevant Regulator (foreign)

Medical schemes:

Constitution or founding document under which the scheme was created

Other legal entities:

Constitution or founding document and proof of registered address

L: INVESTOR DECLARATION

I/We understand and agree to be bound by the provisions of this application form. If on the date of signature of this application form an updated application form exists and the fees are different on that form, the fees on the updated application form will apply.

I/We understand, confirm and agree that:

- ▶ The information contained herein is true and correct, and that if this application form is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers. In such event, all declarations made hereafter must be regarded as having been made by the Investor him/her/itself save that the representative, in acting in a representative capacity, also provides his/her consent to the processing and/or use of his/her personal information by Coronation in accordance with the General Information and Conditions.
- ▶ I am/We are acting for my/our own account and that I/we have made my/our decisions to enter into the investment and as to whether the investment is appropriate for me/us independently based upon my/our own judgement, and upon advice from such advisers as I/we may deem necessary. I/We declare that I am/we are not relying on any communication from Coronation, whether written, oral or implied as investment advice or a recommendation to enter into the investment. I/ We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment.
- ▶ In terms of the Intergovernmental Agreement ("IGA") entered into between the governments of South Africa and the United States to improve international tax compliance and to implement the United States Foreign Account Tax Compliance Act ("FATCA"), Coronation is required to collect relevant information from each client to ensure their identification and classification is correct according to the IGA, and to report on certain clients to the South African Revenue Services where necessary ("SARS"), which may in turn report on such clients to the United States tax and other regulatory authorities, where appropriate.
- ▶ I/We understand that other governments may from time to time establish regulations that are similar to FATCA and enter into agreements with South Africa that are similar to the IGA and that in such event, information from clients, where applicable, may similarly be reported to SARS and/or to the tax or other regulatory authorities of such governments.



- ▶ I/We will notify Coronation immediately if my/our tax residency or FATCA/CRS classification changes in the future, or if there are any changes in circumstances that may impact on my tax residency status, FATCA/CRS classification or any other foreign tax classification.
- ▶ I/We undertake to advise Coronation promptly of any changes which cause any of the information contained in this form to be incorrect.
- ▶ I/We consent to the processing and/or use of my/our personal information by Coronation in accordance with the General Information and Conditions.
- ▶ Coronation will only be able to process investments on receipts of funds into the appropriate bank account, proof of deposit, and all relevant documentation and information (and to the extent necessary, verification thereof), including without limitation, receipt and/or verification of documentation and information that is required for Coronation to meet its obligations under the FICA.
- ▶ If the investment is cancelled after funds were deposited into the appropriate bank account, but before all relevant documentation (referenced in Section K) was submitted, Coronation shall be entitled to receive any such outstanding documentation prior to processing a refund.
- ▶ Coronation has provided access to performance fee information and the Performance Fee Frequently Asked Questions document, which can be viewed on the website www.coronation.com.
- ▶ Coronation will not be responsible for any failure, malfunction or delay of any networks, electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of applications and/or transactions. Coronation will not be liable to make good or compensate any investor or third party for any damages, losses, claims or expenses resulting there from.
- ▶ I/We understand the Coronation Fee Schedule which details the unit trust funds and fee information.
- ▶ I/We have read the appropriate comprehensive fact sheet information available on Coronation's website (www.coronation.com/za/personal/complete-fund-range-fact-sheets).
- ▶ I/We refer to the information that I/we have completed in section C - Tax Information (the "Tax Information Section"). I/We understand that Coronation may be legally obliged to disclose information contained herein to SARS and I/we do not object to this disclosure. I/We will notify Coronation immediately if any information disclosed herein changes or is no longer applicable. Furthermore:
 - where the beneficial owner is exempt from dividends tax, I/we hereby declare that dividends paid to the investor are exempt, or would have been exempt had it not been a distribution of an asset in specie, from the dividends tax in terms of the paragraph of section 64F of the Act indicated above;
 - where the beneficial owner is a foreign resident and qualifies for a reduced rate of dividends tax, I/we declare that all the relevant requirements in terms of the relevant Article of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) in force on the relevant date between the Republic of South Africa and the country of residence of the beneficial owner that has been specified in the Tax Information Section, as well as sections 64FA, 64G or 64H of the Act (whichever is applicable), have been met and that dividends paid on the shares are therefore subject to a reduced rate of dividends tax.
- ▶ I/We understand and agree that my/our investment is subject to the further terms contained in the General Information and Conditions document which may be amended from time to time. I/We confirm that I/we have read the General Information and Conditions document and hereby agree to be bound by the terms thereof (as amended from time to time). The latest version of the General Information and Conditions document is available on request from the Client Service Centre on 0800 86 96 42, or can be viewed on the website www.coronation.com.

I/We indemnify Coronation against any loss or claims arising as a result of my/our (i) breaching the provisions of this application form and/or (ii) providing any false or inaccurate statements, acknowledgements, confirmations or information in this application form.



By execution and delivery of this application form, I/we hereby represent and warrant as follows:

1. I/We understand that we may request a copy of the Prospectus and Supplements for the Fund from the Manager or the Administrator. Copies of the Prospectus and Supplements, Annual Report and Accounts, and Interim Report are available free of charge from the Manager and Administrator.
2. I/We hereby agree to be bound by the Trust Deed and the Prospectus and Supplements for the Fund, as may be supplemented and/or amended from time to time.
3. I/We warrant that in making the investment I/we have complied with all legislation relating to my/our country of residence, including in particular applicable exchange control regulations and undertake to provide any documentation that the Administrator may deem necessary to effect the investment.
4. I/We agree to indemnify the Manager, the Distributor (Coronation), the Investment Manager(s), the Trustee, the Administrator and the unitholders for any loss suffered by it or them as a result of me/us acquiring or holding units in contravention of the restrictions on distribution and sale of units set out in the Prospectus or the contravention of any other restrictions imposed by the Manager or in breach of the laws and regulations of any applicable jurisdiction.
5. I/We hereby agree to indemnify the Fund, the Manager, the Distributor (Coronation), the Investment Manager(s), the Trustee, the Administrator and the unitholders for any loss suffered by it or them as a result of me/us holding or acquiring units if the Manager is of the opinion that my/our holding of units could cause the Fund or any unitholder or sub-fund to incur any liability to taxation or to suffer any pecuniary disadvantages which any or all of them might not otherwise have incurred or sustained or otherwise in circumstances which the Manager believes might be prejudicial to the interests of the unitholders.
6. I/We hereby agree to indemnify and keep the Manager and Trustee indemnified against loss arising to the Manager or the Trustee by reason of the Manager or the Trustee becoming liable to account for tax with respect to the Fund on the happening of an event giving rise to charge to taxation.
7. I/We acknowledge that it is my/our duty to acquaint myself/ourselves with the investment risk associated with the investment. I am/We are aware of and accept the risk associated with the investment, specifically that the real value of the return of the investment may be reduced or affected by any fluctuation in exchange rates.
8. I/We undertake forthwith to notify the Administrator in the event of any of the declarations ceasing to be true and I/we will abide by any directions from the Administrator arising therefrom.
9. I/We agree to provide to the Manager and the Administrator at such times as each of them may request such additional declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I/we hereby agree to notify the Manager or the Administrator immediately of any such change and further agree to request the redemption of Units in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Manager. I/We agree to notify the Manager and/or the Administrator of any change to my/our tax status.
10. I/We hereby agree to indemnify the Manager and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon faxed instructions. The Manager and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any faxed instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.
11. I/We consent to the retention and processing of my/our data by the Administrator for the purposes of maintaining a register of investors, providing subscription and redemption of units and (1) to the transfer of such data to other companies or entities within the Administrator's group, including its offices outside the European Economic Area (the "EEA"); and (2) to the transfer of such data to third party companies or entities including their offices outside the EEA where the transfer is necessary for the maintenance of records, administrations or provision of services in relation to any investment product or services of any group companies. I/We understand that the transfer of my/our data may be to a country which does have equivalent data protection to that of the EEA.
12. I/We agree to provide to the Manager or the Administrator any additional documentation, that it or they may require to verify my/our identity in accordance with current anti-money laundering legislation and/or taxation of savings legislation. The current requirements in this regard, which may be revised from time to time, are set out in Section K. I/We acknowledge that any delay by me/us in providing such documentation may result in delayed processing of my/our application and/or delayed payment of any future redemption payments to me/us or processing of share transfer requests on my/our behalf. I/We hereby hold the Manager and the Administrator harmless and indemnify them against any loss arising as a result of a failure to process this application if such information has been required and has not been provided by me/us. I/We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity to the best of my/our knowledge and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.



13. I/We hereby agree to indemnify and keep the Fund indemnified against loss arising to the Fund by reason of the Fund becoming liable to account for tax and any interest or penalties thereon on the happening of a chargeable event giving rise to a tax liability including if no such deduction, appropriation or cancellation has been made. I/We agree to indemnify and keep the Manager and the Trustee indemnified against loss arising to the Manager or the Trustee by reason of the Manager or the Trustee becoming liable to account for tax with respect to the Fund on the happening of an event giving rise to a charge of taxation.
14. In terms of the Intergovernmental Agreement ("the IGA") entered into between the Governments of South Africa and the United States of America to improve international tax compliance and to implement the Foreign Account Tax Compliance Act ("FATCA"), and equivalent IGAs between the Government of South Africa and any other countries, Coronation Fund Managers and all its group companies are required to collect relevant information from each client to ensure their identification and classification is correct according to the IGA, and report on these clients to the South African Revenue Services ("SARS") where necessary. Clients must notify Coronation immediately if their tax residency or FATCA classification has changed, or if changes in circumstances lead clients to believe this may impact their status or classification.
15. I/We declare that the units in the Fund(s) are not being acquired directly or indirectly by or on behalf of a United States resident or in violation of any applicable law.
16. I/We hereby agree to indemnify and hold harmless the Administrator and the Manager and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation on legal fees, taxes and penalties) which may result directly or indirectly from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to any of them and shall notify the Manager and the Administrator immediately if any of the confirmation, representations, declarations, covenants and warranties made or given herein made are no longer accurate, corrected and complete in all respects.
17. I/We warrant that the information supplied by me/us in this application form is true and correct in every respect and agree that such information shall form the basis of my/our investment.
18. I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of units by me/us in the Manager.

1st Signatory: _____ Signature: _____

2nd Signatory: _____ Signature: _____

3rd Signatory: _____ Signature: _____

4th Signatory: _____ Signature: _____

Date: _____ [d] / _____ [m] / _____ [y].

NEXT STEPS

- Please send the completed form and documentation to OffshoreInvestments@coronation.co.za or 086 676 9780 (fax). A member of our client service team will contact you if more information is required.
- The business cut-off time for receiving an instruction is 12h00 (noon) on the Dealing Date. The instruction will only be processed once supporting documents and proof of deposit or transfer have been received, or supporting documents have been received AND the funds reflect in the relevant bank account. You will receive SMS confirmation of the transaction on the next business day.
- You can manage your investments on our secure online platform. Simply register at www.coronation.com once you have received confirmation of your transaction and your investment number. If you are already registered, please link this unit trust investment to your portfolio. You'll find this option in the Manage Details section.
- Statements will be sent to you every quarter and all transactions will be confirmed via SMS, email and/or postal letter.
- Should you have any queries, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.



ANNEXURE A - GLOSSARY OF TERMS

Please review the Inter-Governmental Agreement (IGA) between the South African and United States governments and the Foreign Account Tax Compliance Act (FATCA) for detailed definitions of all terms. These documents are available on the US Treasury website, at <http://www.treasury.gov/resource-center/tax-policy/treaties/Pages/FATCA.aspx>.

Should any term remain unclear, we recommend that you seek advice from your tax adviser. You can also contact us at clientservice@coronation.co.za or call 0800 22 11 77 for more information.

Entity

A legal person or a legal arrangement, such as a company or trust.

Exempt Beneficial Owner

These include government entities, central banks and certain investment entities. Please find the complete definition of exempt beneficial owners in Annex II of the Inter-Governmental Agreement between the US and South Africa.

Financial Institution

A Custodial Institution, a Depository Institution, an Investment Entity, or a Specified Insurance Company. Please find the complete definitions of these terms in the South African IGA.

Foreign Account Tax Compliance Act (FATCA)

FATCA promotes cross-border tax compliance by implementing an international standard for the automatic exchange of information related to US taxpayers. FATCA regulations require tax authorities to obtain detailed account information for US taxpayers on an annual basis and is intended to increase transparency for the Internal Revenue Service (IRS) with respect to US persons who may be investing and earning income through non-US institutions. While the primary goal is to gain information about US persons, FATCA imposes tax withholding where the applicable documentation and reporting requirements are not met.

Common Reporting Standards (CRS)

Developed by the Organization for Economic Cooperation and Development (OECD), CRS is a global reporting standard for the automatic exchange of information (AEOI). The goal of CRS is to allow tax authorities to obtain a clearer understanding of financial assets held abroad by their residents, for tax purposes.

Foreign Financial Institution (FFI)

A financial institution that is not resident in the US for tax purposes, including;

- A Participating FFI is an entity that entered into an IGA agreement to comply with FATCA.
- A Non-Participating FFI is an entity that does not comply with FATCA or an IGA agreement.
- A Deemed-Compliant FFI meets the requirements of FATCA without entering into an IGA agreement.
- A Partner Jurisdiction FI, is an FI within a jurisdiction that has entered into an IGA with the United States.

Inter-Governmental Agreement (IGA)

The governments of South Africa and the United States signed a Model 1 IGA on 9 June 2014 to improve international tax compliance and to implement the Foreign Account Tax Compliance Act (FATCA).

Non-Financial Foreign Entity (NFFE)

A Non-Financial Foreign Entity is any non-US Entity that is not an FFI as defined in Annex I of the IGA between SA and the US. The definitions for an Active NFFE and a Passive NFFE are also contained in the IGA.

Partner Jurisdiction

A jurisdiction that has entered into an agreement with the United States to facilitate the implementation of FATCA. A Partner Jurisdiction Financial Institution is a financial institution established in a Partner Jurisdiction, but excluding any branch of such Financial Institution that is located outside the Partner Jurisdiction, and any branch of a Financial Institution not established in the Partner Jurisdiction, if such branch is located in the Partner Jurisdiction.

US Person

US persons include:

- All US citizens or resident individuals.
- A partnership or corporation organised in the US or under the laws of the US or any State thereof.
- A trust if (i) a court within the United States would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more US persons have the authority to control all substantial decisions of the trust.
- An estate of a decedent that is a citizen or resident of the US.

This term shall be interpreted in accordance with the US Internal Revenue Code.



A Specified US Person is a US Person, other than:

- A corporation with stock that is regularly traded on one or more established securities markets, and any entity related to such a corporation.
- The United States government or governmental agency.
- A dealer in securities, commodities or derivative financial instruments that is registered under the laws of the US.
- An entity that is a bank, a broker or common trust fund, an organisation exempt from taxation, a real estate investment trust, a regulated company or a trust that is exempt from tax, as defined in the relevant US Internal Revenue Code.